

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SBP/IGD/JL

Applicant: Eugene L. Podsiadlo

Art Unit No.: 3624

Application No.: 09/668,547

Examiner: Steven R. Wasylchak

Filed: September 25, 2000

COMMUNICATION NETWORK BASED SYSTEM AND METHOD

FOR AUCTIONING SHARES OF AN INVESTMENT PRODUCT

Date: December 17, 2004

RESPONSE TO JUNE 18, 2004 OFFICE ACTION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants submit this response to the Non-Final Office Action ("Office Action") mailed on June 18, 2004, in connection with the above-identified patent application. With a threemonth extension of time requested in the enclosed Petition and Fee for Extension of Time, a response to the Office Action is due on December 18, 2004, and accordingly, this response is timely filed.

Listing of Claims begins on page 2 of this document.

Remarks begin on page 11 of this document.

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CERTIFICATE OF MAILING BY "FIRST CLASS MAIL" (37 C.F.R. § 1.8)

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450 RECEIVED

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Sir:

GROUP 3600

I hereby certify that the following correspondence:

Return Receipt Postcard; Response To June 18, 2004 Office Action (20 pg); Petition For Extension of Time Under 37 CFR 1.136(a) (1pg)(in duplicate); Fee Transmittal for FY 2005 (1 pg)(in duplicate)

is being deposited on <u>December 17, 2004</u> with the United States Postal Service as first class mail in an envelope bearing sufficient postage thereon and addressed to:

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450.

Jeong Eun Lee

(Typed Or Printed Name Of Person Mailing Correspondence)

(Signature Of Person Mailing Correspondence)

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